# Reducing Severe Traumatic Brain Injury In the United States

Severe TBI in the United States and the Role of Public Health Dr. Lisa C. McGuire, Acting Associate Director for Science Division of Injury Response National Center for Injury Prevention and Control, CDC

#### Treatment of Severe TBI

Dr. David W. Wright, Associate Professor of Emergency Medicine and Director, Emergency Neurosciences, Department of Emergency Medicine Emory University School of Medicine

#### Role of Policy in Reducing TBI and TBI-related Disability

Dr. Arthur L. Kellermann, Vice President and Director of RAND Health RAND Corporation

## Severe Traumatic Brain Injury in the United States and the Role of Public Health



#### Lisa C. McGuire, PhD

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## What Is a Traumatic Brain Injury (TBI)?

#### A TBI is a brain injury caused by a bump, blow, or jolt to the head, or a penetrating head injury that disrupts the normal function of the brain

Marr A and Coronado V. Centers for Disease Control and Prevention. The Guidelines for the Surveillance of TBI in US, 2004

## Public Health Burden of TBI in the United States: Approximately 1.7M TBIs Annually



Faul, M et al. Centers for Disease Control and Prevention, National Center for Injury Prevention and Control, 2010

## Public Health Burden of TBI in the United States

- At least 3 TBIs are sustained every 1 minute
- Males are 3 times more likely to die of TBI than females
- **5.3** million people are living with a TBI-related disability



Faul, M et al. Centers for Disease Control and Prevention, National Center for Injury Prevention and Control, 2010 Thurman, D et al. J Head Trauma Rehabil 1999;14(6):602-615

## Estimated Economic Costs of TBI \$76.3 Billion in 2010



Finkelstein, E at al. The Incidence and Economic Burden of Injuries in the United States. New York (NY): Oxford University Press, 2006 Coronado, VG et al. The epidemiology and prevention of TBI, in press, 2012



#### Estimated Average Percentage of Annual TBI by External Cause United States, 2002–2006



#### **Causes of TBIs**



Faul, M et al. Centers for Disease Control and Prevention, National Center for Injury Prevention and Control, 2010

# **Classification of Traumatic Brain Injuries**

- Currently, there is no general agreement on severity classifications, and several different types of classification are used
- **TBI** is categorized as mild, moderate, and severe
- Classification may be based on
  - Level of consciousness (Glasgow Coma Score)
  - Anatomic injury description (Abbreviated Injury Score)
  - Functional outcome after injury (Glasgow Outcome Score)

## Why Focus on Severe TBI?

#### Severe TBIs may lead to lifetime disability or death

Estimated 43% of hospitalized TBI survivors (125,000 people) have TBI-related disabilities one year after injury

#### Major economic impact to society

The cost of fatal TBIs and TBIs requiring hospitalization, many of which can be considered severe, account for ~90% of the total TBI medical costs

Finkelstein, E et al. The Incidence and Economic Burden of Injuries in the United States. New York (NY): Oxford University Press, 2006 Selassie, AW et al. J Head Trauma Rehabil 23(2):123-131, 2008

# **Potential Consequences of Non-fatal Severe TBI**

# Individual

- Cognitive impairment
- Psychological and emotional changes
- Personality changes
- Sensory and/or motor dysfunction
- Seizure disorders



Coronado, VG et al. The epidemiology and prevention of TBI, in press, 2012

## **Potential Consequences of Non-fatal Severe TBI**

#### Family, Community, and Society

#### Psychological stress

- Economic burden
- Productivity loss
- Need for supportive services



## **Primary Prevention of Severe TBI**

#### Interventions

- > Motor vehicle related: Restraints, airbags, vehicle technology
- Sports: Helmets
- Falls: Exercise and balance training
- Shaken baby syndrome: Parent education

#### Challenges

- Dissemination of interventions
- Widespread adoption of interventions, including policy





Coronado, VG et al. The epidemiology and prevention of TBI, in press, 2012

# **Early Management of Severe TBI**

#### Guidelines for Field Triage of Injured Patients

- Developed by CDC
- Help EMS providers decide when to transport injured patient to highest level of care within a trauma system



## Challenges

- > 45 million people in the US are <u>not</u> within 1 hr of a trauma center
- Widespread adoption and implementation of field triage guidelines
- Training of EMS personnel varies across the country

The overall risk of death for patients with serious injury was 25% lower when care was provided at a level I trauma center

EMS, Emergency medical service www.cdc.gov/Fieldtriage MacKenzie, EJ et al. NEJM 2006;354:366–78

# **Early Management of Severe TBI**

#### Guidelines for Pre-hospital and In-hospital Management of Severe TBI

- Developed by the Brain Trauma Foundation
- Provide health care professionals with evidence-based patient care and treatment recommendations, such as
  - Prevention of hypotension (low blood pressure)
  - Prevention of hypoxemia (low blood oxygen)
  - Monitoring intracranial pressure to guide therapy

Challenges: Widespread adoption of these guidelines

# Rehabilitation and Reintegration A Comprehensive Approach

#### Goals

- Regain function
- Adapt to disabilities
- Return to employment or former role in household and community
- Requires multidisciplinary team approach tailored to individual

#### Challenges

- Availability of comprehensive services
- Insurance reimbursement limitations
- Research incorporating growing evidence for neuroplasticity

National Institutes of Health Consensus Development Conference Statement, October 26-28, 1998 Rehabilitation of Persons with Traumatic Brain Injury. Bethesda, MD, September 1999

# **Public Health Role in Addressing Severe TBI**

#### Reducing severe TBI by providing the best scientific evidence for informing efforts

- To prevent TBI from happening in the first place
  - To improve identification of TBI and its management when it happens

### Key activities

- Surveillance
- Identification of evidence-based strategies
- Dissemination and implementation of evidence-based strategies

## Surveillance Challenges and Way Forward

#### Current data sources

- National Electronic Injury Surveillance Systems (NEISS)
- Multiple Cause-of-Death Mortality Data Public Use Data files from the National Center for Health Statistics
- Vital Statistics from all 50 states and the District of Columbia
- National Trauma Data Bank

- Development of a standard definition for TBI
- National injury surveillance system
- Population-based longitudinal or follow-up studies

# Primary Prevention Challenges and Way Forward

#### Challenges

- Multiple causes require multiple strategies
- State-based versus national policies and interventions

- Tailor and evaluate evidenced-based interventions to high risk populations
- Evaluation of existing primary prevention strategies to strengthen implementation and outcomes
- Fully implement and disseminate evidenced-based strategies
  - Community Guide Recommendations: Seat belts, ignition interlocks
  - Heads Up: Prevention and response to TBI education campaign

# Early Management Challenges and Way Forward

#### Challenges

- Access to trauma care varies among states
- Lack of social and political will for development of trauma systems

- Ensure early access to trauma care
- Support the development of trauma systems that are integrated with public health systems across the United States

# Rehabilitation and Community Integration Challenges and Way Forward

#### Challenges

- Regain/maintain health and function
- Insurance reimbursement is fragmented and not comprehensive

- Reimbursement mechanisms for comprehensive services
- Build evidence for most effective strategies of a comprehensive rehabilitation program
- Disseminate best practices for linking community services with rehabilitation providers

# Public Health Role in Addressing Severe TBI Importance of Partnerships

#### Building partnerships

- Close gaps and move forward with effective surveillance
- Develop new interventions
- Promote the widespread adoption of evidence-based prevention and treatment strategies

#### Partners

- Federal agencies
- State and local health departments
- National and community organizations
- Medical community



# Traumatic Brain Injury: From Guidelines to Novel Therapies



#### David W. Wright, MD

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Disclaimer: Zenda Technologies<sup>©</sup>.Inventor and stockholder, Startup company to further develop the DETECT<sup>™</sup> Technology – a novel tool for detecting concussions BHR Pharma. Inventor of technology licensed from Emory to create path for progesterone technology to consumer. Eligible for Royalties through Emory University

## **Overview**

- Review the fundamentals of traumatic brain injury
- Review the current standards of care and guidelines for treatment
- Reflect on the research gaps and opportunities for new interventions
- Discuss a promising new therapy
- Summarize our way forward

# **Primary Injury**



# Secondary Injury Neurotoxic Cascade

# Begins immediately after the injury and lasts days to months

#### Excitatory amino acids

The earliest mechanisms discovered involved an abnormally large release of glutamate (a normal neurotransmitter in the brain)

#### Critical Ions: Ca, Na, Mg

Ca influx activates multiple intracellular pathways and ultimately leads to cell death and necrosis



## Secondary Injury Neurotoxic Cascade



#### **Neurotoxic Cascade after TBI**



## **Failure of Past TBI Clinical Trials**

- None of the available medical therapies provide substantial relief from oedema and raised intracranial pressure, or at best, they are temporizing in most cases
- Hypothermia trials have been inconclusive
- **50** compounds in 30 TBI trials over 30 years—all failed

![](_page_28_Picture_4.jpeg)

Ayata C and Ropper A. J Clin Neurosci 2002;9(2):113-24 Interagency meeting on TBI, Washington, DC, 2006

# At Present There Are No Effective Drug Treatments for Traumatic Brain Injury

# **Clinical Research Gaps**

![](_page_30_Picture_1.jpeg)

## **Research Gap: TBI Definition**

#### Lack of a TBI definition based on pathophysiology

# Current approach is based solely on an individual's response to the clinical environment

- Patients are categorized based on the Glasgow Coma Scale (GCS) as mild, moderate, or severe
  - Crude
  - Often contaminated by other factors: Alcohol intoxication, sedating drugs, intubation
  - Lacks any pathological link
  - Changes or evolves over time
  - Does disservice to the complexity of the underlying injury

## **TBI Is a Heterogenous Disease**

![](_page_32_Picture_1.jpeg)

**Epidural hematoma** 

![](_page_32_Picture_3.jpeg)

Subdural hematoma

![](_page_32_Picture_5.jpeg)

**Contusion/Hematoma** 

![](_page_32_Picture_7.jpeg)

Subarachnoid hemorrhage

![](_page_32_Picture_9.jpeg)

Diffuse axonal injury

![](_page_32_Picture_11.jpeg)

**Diffuse swelling** 

## **Research Gap: Developing Treatment**

Mechanistic approach
 Single target

![](_page_33_Figure_2.jpeg)

## "The magic bullet"

## **Multifaceted Approach**

- Multiple mechanisms
- Multiple targets
- Pleotropic drugs
- Drug combinations

![](_page_34_Picture_5.jpeg)

# "The dirty drug...drug cocktail"

![](_page_35_Picture_0.jpeg)

## Lack of Evidence for Current Approach Results in Treatment Variability

![](_page_36_Picture_1.jpeg)

□ Pulse Ox ≥ 90%	□ ICP < 20 mmHg	Physiologic Na+ 135-145*
□ PaO2 ≥ 100 mmHg	□ PbtO2 ≥ 15 mmHg	□ INR ≤ 1.4
PaCO2 35-45 mmHg	□ CPP ≥ 60 mmHg	□ PLTS ≥ 75 x 10 <sup>3</sup> / mm <sup>3</sup>
□ SBP ≥ 100 mmHg	Temp 36.0-38.1°C	☐ Hgb ≥ 8 gm/dl
🖵 pH 7.35-7.45	🛄 Glucose 80-180 mg/dL	

\*Hypertonic saline therapy: Na+ range: 145 mmol/L (minimum) to 160 mmol/L (maximum)

# **Brain Trauma Foundation Guidelines**

# Evidence-based, first developed in 1996 Widely adopted as a way to reduce TBI mortality and morbidity

![](_page_37_Picture_2.jpeg)

![](_page_37_Picture_3.jpeg)

![](_page_37_Picture_4.jpeg)

Guidelines for the Acute Medical Management of Severe Traumatic Brain Injury in Infants, Children, and Adolescents

View the Guidelines

https://www.braintrauma.org/coma-guidelines

# **Brain Trauma Foundation Guidelines**

- Adoption of the guidelines saves lives
- Current adoption rate is 65%
- Full adoption of treatment guidelines would result in an estimated annual savings of

RILLO

CONF

- \$262 million in medical costs
- \$43 million in rehabilitation costs
- \$3.84 billion in lifetime societal costs

![](_page_38_Picture_7.jpeg)

http://www.braintrauma.org Faul, M et al. J Trauma 2007;63(6):1271-8

![](_page_38_Picture_9.jpeg)

## Hiding in Plain Sight: Progesterone is a Promising Treatment

![](_page_39_Picture_1.jpeg)

#### Where Would We Be Without CDC?

"The CDC was the first federal agency willing to take a gamble on what many at the time thought was "pie in the sky" research. Their initial, two-year grant to my team kick-started it all."

Don Stein, PhD

![](_page_40_Picture_3.jpeg)

Emory University

## **Early Observations**

- Hormonal state of female rats at the time of a brain injury affected outcome
- Animals high in progesterone (e.g., pregnancy) had much better outcomes than male or non-pregnant animals
- Giving progesterone to animals after injury improved the outcome of both male and female rats

![](_page_41_Figure_4.jpeg)

## **Potential Mechanisms in TBI**

![](_page_42_Figure_1.jpeg)

## **Corroborative Research**

#### >180 publications showing positive results with progesterone in neurological injury

- > 24 different laboratories
- 4 animal species
- > 22 different animal models

## Will Progesterone Work in Humans? Robust in Animal Models, but ...

![](_page_44_Picture_1.jpeg)

#### **ProTECT** A Randomized Clinical Trial of Progesterone for Acute Traumatic Brain Injury

#### In 2001, the first human trial of progesterone for TBI was conducted at Grady Memorial Hospital

- The Phase II randomized, double blind, placebo controlled study enrolled 100 subjects over 3 years
- Goal: To verify that progesterone was safe and to determine if any signals of efficacy existed

![](_page_45_Picture_5.jpeg)

![](_page_45_Picture_6.jpeg)

NINDS # 1RO1 NS39097-01A1, IRB # 433 – 2001, GCRC # 9004

## Key Findings: Progesterone Was Safe and Reduced Mortality by >50%

![](_page_46_Figure_1.jpeg)

Similar findings were demonstrated later by Xiao et al in a study of 159 subjects: Improved functional outcome at 3 and 6 months post injury

Wright, DW et al. Ann Emerg Med. 2007;49(4):391-402, 402.e1-2. Epub 2006 Sep 29 Xiao, G et el. Critical Care 2008;12:R61

# ProTECT<sup>™</sup> III Progesterone for Traumatic Brain Injury

#### Multicenter Phase III clinical trial

- Funded by NIH
- Conducted through
  Neurological Emergencies
  Treatment Trials Network
- 31 level 1 trauma centers

#### 🗆 Goal

- Enroll 1,140 patients over 4.5 years
- To date 326 patients enrolled
- Primary outcome is Stratified Glasgow Outcome Scale Extended

![](_page_47_Picture_9.jpeg)

![](_page_47_Picture_10.jpeg)

## **Way Forward**

#### Comply with the BTF Guidelines for care

- Special attention to standardizing care for clinical trials
- Develop a pathophysiological classification system and better biomarkers for targeting therapy and trials

# Keep trying!

- Other therapies are being considered or assessed in clinical trials
- Drugs with pleotropic action or combination therapies are the most likely to be successful
- Strengthen partnerships between clinical medicine and public health to improve prevention, public awareness, and outcomes

# Role of Public Policy in Reducing TBI and TBI-Related Disability

![](_page_49_Picture_1.jpeg)

#### Arthur L. Kellermann, MD, MPH

Vice President and Director of RAND Health RAND Corporation Santa Monica, CA

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![](_page_51_Picture_0.jpeg)

"It takes very little energy to scramble an egg, and all our science is incapable of reversing that transaction."

> - Dr. Richard Feynman Nobel Prize-winning physicist

### A "Winnable Battle"

![](_page_52_Picture_1.jpeg)

http://www.articlesnatch.com/Article/Seat-Belt-Use-And-Traumatic-Brain-Injury/221375#ixzz1X75nGvuC

## **Public Policy Can Advance Prevention**

# **Prevention: The Four E's**

![](_page_53_Figure_2.jpeg)

NTS/DTPC/JI#4'99/US-Africa Transportation Ministerial-Atlanta-Sept'99.ppt

# **Driver Education: Ineffective**

![](_page_54_Picture_1.jpeg)

#### 3 well-designed national evaluations (United States, Australia, and New Zealand)

Driver education may paradoxically increase crashes involving teens

#### DeKalb County, GA, randomized controlled trial

- > 3 groups, >16,000 students
  - Standard driver education
  - 80-hr course: Simulation, driving range, on-the-road components
  - Control group: No formal driver education
- Initial analysis: No significant differences in rates of crashes or subsequent traffic violations among the 3 groups
- Follow-up analysis
  - An early and slight reduction only in the standard course group
  - No difference between 80-hr course and control group

Countermeasures That Work. NHTSA Office of Behavioral Safety Research, NTI-130. Washington, DC Roberts IG. Cochrane Database of Systematic Reviews, Issue 3. Art. No. CD003201, 2001. DOI: 10.1002/14651858.CD003201 Smith MF. Washington, DC: U.S. Department of Transportation, NHTSA, 1994

# **Public Education: Largely Ineffective**

#### Safety belts work, but only when used

- Available in all new passenger cars since 1964
- Shoulder belts introduced in 1968
- Integrated lap and shoulder belts in 1972
- □ 1<sup>st</sup> widespread survey (1982) found low rates of use
  - 19 cities; 11% usage by drivers and front-seat passengers

#### Over the next decade, usage slowly climbed to 66–69%

- Safety belt laws
- Sporadic enforcement
- More public education

![](_page_55_Picture_11.jpeg)

## **High-Visibility Enforcement: Effective**

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![](_page_56_Picture_2.jpeg)

# Primary Enforcement: More Effective Than Secondary Enforcement

#### In "primary enforcement" states

- An officer issues a citation upon observing an unbelted motorist
- Substantially higher levels of enforcement and higher rates of seat belt use

![](_page_57_Picture_4.jpeg)

#### In "secondary enforcement" states

An officer must stop the vehicle for some other violation before issuing a seat belt citation

#### Getting seat belt use rates above 83% requires

- "Click It or Ticket" type programs aimed at the general population
- Special programs targeting low-use groups such as occupants of pickup trucks, rural residents, and nighttime drivers

# Alcohol-Impaired Driving: An Ongoing Challenge

#### 1982 to the mid-90s: Progress made

- Grassroots organizations like Mothers Against Drunk Driving (MADD)
- High-visibility enforcement
- Intense publicity of fatal crashes
- Public policy
  - 0.08 blood alcohol level
  - License revocation for driving under influence
  - Minimum drinking age laws

![](_page_58_Picture_9.jpeg)

http://www.madd.org

## **Alcohol-Impaired Driving Fatalities: 1982–2008**

![](_page_59_Figure_1.jpeg)

Fatal Analysis Reporting System, National Highway Traffic Safety Administration, U.S. Department of Transportation, Washington, DC

# **Graduated Drivers Licensing (GDL) Laws: Effective**

#### A 3-phase system for beginning drivers

- Learner's permit: Allows driving only while supervised by a fully licensed driver
- Intermediate license: Unsupervised driving allowed, but only with certain restrictions
- Unrestricted license after intermediate phase

![](_page_60_Picture_5.jpeg)

#### Among 16-year old drivers, the most stringent GDL laws are associated with

- 38% reduction in fatal crashes
- 40% reduction in injury crashes

Countermeasures That Work. NHTSA Office of Behavioral Safety Research, NTI-130 Washington, DC Baker, SP et al. Nationwide Review of Graduated Driver Licensing. Washington, DC. AAA Foundation for Traffic Safety, 2007 www.aaafoundation.org/pdf/NationwideReviewOfGDL.pdf

# **Motorcycle Helmet Laws: Effective**

#### History of universal helmet laws

- 1966: The 1<sup>st</sup> law enacted
- > By 1975: Laws in effect in 47 states and the District of Columbia
- 1975: Federal penalties eliminated for states failing to have a universal law; about half the states repealed their laws

#### Cochrane review of 61 studies

- > Helmets reduce risk of death in a crash by 42%
- Helmets decrease the risk of head injury by 69%

#### Mandatory helmet use laws are highly effective

- Adopting states quickly achieve helmet use rates of >90%
- Repealing states see usage rates plummet to 50%

Imer RG and Preusser DF. Final Report to the National Highway Traffic Safety Administration, 2003 http://www.nhtsa.dot.gov/people/injury/pedbimot/motorcycle/kentuky-la03/index.html Kyrychenko SY and McCartt AT. Traffic Inj Prev.2006;7(1):55-60

# Safety Engineering: Effective

![](_page_62_Picture_1.jpeg)

## **Built to Protect...**

#### Key design features

- Strong occupant compartment (safety cage)
- Crumple zones to absorb the force of a serious crash
- Side elements and a strong roof support protect against intrusion
- Safety belts, pre-tensioners, and frontal and supplemental (side and curtain) airbags absorb energy in a crash

#### Mandatory safety testing

- Based on dynamic testing, new vehicles earn
  - a "crashworthiness rating"

![](_page_63_Picture_9.jpeg)

### **Economic Incentives: Effective**

![](_page_64_Picture_1.jpeg)

![](_page_64_Picture_2.jpeg)

## Policy Challenges in Acute Care and Rehabilitation

#### Prehospital (EMS) care

CDC trauma triage guidelines

#### Regionalized trauma systems

- "Get the right patient to right hospital at the right time"
- Acute care at trauma centers
- Optimal rehabilitation

![](_page_65_Picture_7.jpeg)

EMS, Emergency medical service http://www.cdc.gov/fieldtriage

# **Policy Gaps**

Sports-related concussions are a large and growing concern Access to trauma and rehabilitation care is inadequate in many parts of the US What can be done about it? Reimbursement policies affect access to and the quality of rehabilitation services

How should they be changed?

![](_page_66_Picture_3.jpeg)

# **Policy Challenges**

#### Values

Concern about "personal freedom" could trump robust evidence of the benefit of helmet and seatbelt laws, product safety regulations, and even policies that deter impaired driving

### Funding

Convincing policy-makers to allocate sufficient money to trauma care and rehabilitation

![](_page_67_Picture_5.jpeg)

## We Are Winning This Battle!

![](_page_68_Figure_1.jpeg)

![](_page_68_Figure_2.jpeg)

Year (1925-2009)

VMT, Vehicle miles traveled MMWR, May 14, 1999;48(18);369-374 Data source: National Safety Council, Injury Facts, 2011