
Sexual Violence Trends before and after Rollout of COVID-19 Mitigation Measures, Kenya

Walter Ochieng,¹ Elizabeth O'Mara Sage,¹ Thomas Achia, Patricia Oluoch, Caroline Kambona, John Njenga, Marc Bulterys, Aun Lor

COVID-19 mitigation measures such as curfews, lockdowns, and movement restrictions are effective in reducing the transmission of SARS-CoV-2; however, these measures can enable sexual violence. We used data from the Kenya Health Information System and different time-series approaches to model the unintended consequences of COVID-19 mitigation measures on sexual violence trends in Kenya. We found a model-dependent 73%–122% increase in reported sexual violence cases, mostly among persons 10–17 years of age, translating to 35,688 excess sexual violence cases above what would have been expected in the absence of COVID-19–related restrictions. In addition, during lockdown, the percentage of reported rape survivors receiving recommended HIV PEP decreased from 61% to 51% and STI treatment from 72% to 61%. Sexual violence mitigation measures might include establishing comprehensive national sexual violence surveillance systems, enhancing prevention efforts during school closures, and maintaining access to essential comprehensive services for all ages and sexes.

COVID-19 mitigation measures such as curfews, lockdowns, and travel restrictions reduce disease transmission, but these measures also disrupt economic activities and social networks, and hinder access to health and social services (1,2). Mass disruption of socioeconomic activities often has unintended consequences, including an increase in sexual violence and prolonged exposure to abusers, while concomitantly limiting survivors'

access to and the availability of medical and social services (2–5).

A COVID-19 case was confirmed in Kenya on March 13, 2020. The government rolled out a series of measures to contain the spread of COVID-19 and mitigate its impacts on March 15, 2020. These measures included school closures, movement restrictions, curfews, rescheduling of clinical services, and reassignments of health workers to COVID-19 case management (Appendix Figure 1, <https://wwwnc.cdc.gov/EID/article/28/13/22-0394-App1.pdf>).

In May 2020, the United Nations Population Fund warned that an additional 31 million cases of sexual and gender-based violence would be seen globally during implementation of COVID-19 mitigation measures and called on governments to be alert to these dangers (6). In July 2020, one study found that patterns of sexual violence against children in Kenya had changed and that the average age of survivors declined from 16 to 12 years (H.D. Flowe et al., unpub. data, <https://doi.org/10.31234/osf.io/eafwu>). That study also found that 76% of offenses occurred during the day and coincided with normal school hours. Another study during the lockdown noted that 78% of perpetrators were known to the victim, either family members or neighbors (7). These studies were not designed to quantify national estimates of sexual violence, but they attest to the heightened exposure of women and girls to sexual violence.

To determine whether sexual violence increased in Kenya during the COVID-19 pandemic, we examined trends in reported sexual violence cases in Kenya during January 2015–June 2021. Because COVID-19 mitigation measures also disrupted clinical services, we assessed changes in overall quality of care for

Author affiliations: US Centers for Disease Control and Prevention, Atlanta, Georgia, USA (W. Ochieng, E. O'Mara Sage, A. Lor); US Centers for Centers for Disease Control and Prevention, Nairobi, Kenya (T. Achia, P. Oluoch, C. Kambona, J. Njenga, M. Bulterys)

DOI: <https://doi.org/10.3201/eid2813.220394>

¹These first authors contributed equally to this article.

sexual violence survivors, including HIV postexposure prophylaxis (PEP) and sexually transmitted infection (STI) treatments.

Methods

Definitions and Data Sources

We obtained monthly sexual violence reports from the Kenya District Health Information System (DHIS2) database covering January 2015–June 2021. Those data cover patients who received clinical care in hospitals, health centers, and dispensaries registered by the Kenya Medical Practitioners and Dentists board. Those health facilities also offer other routine clinical services, such as malaria treatment. Aggregate facility-level sexual violence data are extracted from the Kenya Ministry of Health Sexual Gender-Based Violence (SGBV) register (MOH 365) and entered into DHIS2 monthly; patient-level data are not available in the DHIS2 database.

We used sexual violence case definitions as outlined in the National Guidelines on the Management of Sexual Violence in Kenya (8) and the SGBV register (9). Those documents outline acts of sexual violence list acts of sexual violence as rape, attempted rape, defilement, incest, sexual assault, gang rape, and forcible anal penetration (8). Rape covers forcible anal penetration in both sexes (8). In contrast, the legal definition of rape in Kenya is forcible vaginal penetration only.

We appraised the following data: overall sexual violence, a general category that includes attempted rape and other unspecified forms or types of sexual violence; rape, including forcible penetration of vagina or anus; rape-related HIV PEP; and rape-related STI treatment. We included PEP and STI treatment outcomes to assess whether the sexual violence survivors received minimum standard care according to the national guidelines (8) or if standards of care changed during the pandemic. Because we expected these 2 indicators to directly correlate, they also served as data quality checks for overall sexual violence and rape cases.

Of note, a registered facility can report a sexual violence survivor as a sexual violence or rape case and document whether the patient received HIV PEP or STI treatment. At the end of each month, the facility aggregates reports for and enters information into DHIS2 using inputs for the total number of rape consultations (rape), among which the facility notes the number of rape survivors who received PEP (rape-PEP), and the number who received STI treatment (rape-STI). Because dispensaries and health centers

might collect information in paper or electronic form before data are entered into DHIS2, 1 sexual violence survivor might be reported to DHIS2 ≤ 3 times, as rape, rape-PEP, or rape-STI.

Of 47 counties in Kenya, we excluded 14 (30%) from our analysis because they had incomplete or missing data in DHIS2. The excluded counties account for $\approx 19.5\%$ of the country's population (Figure 1).

Statistical Approaches and Assumptions

We hypothesized that monthly reported cases of sexual violence evolve with time, based on changing sociocultural, policy, and legal factors. We also hypothesized that seasonal variations in sexual violence occur and that case numbers would be higher in some months than others; thus, we hypothesized both long-term trends and seasonal patterns in reported sexual violence cases. To calculate the effect of COVID-19 lockdowns on sexual violence, we followed the traditional time-series approach and estimated the trends that would have been expected during the lockdown, had the lockdown not happened. We considered the difference between the reported cases and the estimated nonlockdown trend as effects of the lockdown.

We first conducted descriptive analyses and checked for seasonal patterns in sexual violence by separating monthly variations from long-term trends (Appendix Figure 2). We then conducted several statistical tests to select the most appropriate time-series models (Appendix). We used those selected models to estimate the effects of the lockdowns on sexual violence and quality of sexual violence survivor care.

We made several assumptions for our analyses to make our models realistic. First, we assumed no changes in data reporting occurred during the study period, including changes in reporting requirements, definitions of indicators, or data collection tools. We checked this assumption by examining data quality reports from the DHIS2 database and through discussions with public health program officers working on sexual violence in Kenya.

Second, we assumed that no factors or events that could affect sexual violence trends, but were unrelated to the pandemic, were occurring when the lockdown started. Such factors might include new legislation penalizing sexual violence or mass disruptive events, such as civil conflict. We checked this assumption through discussions with program staff, by using date falsification tests to change the lockdown start date to several months before and after March 2020, and by using Supremum Wald tests to look for unusual patterns in the data (10).

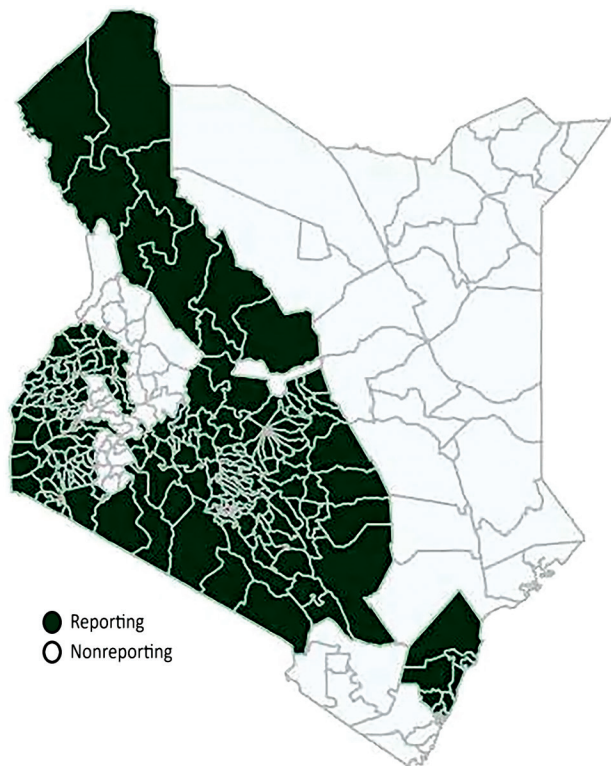


Figure 1. Counties reporting sexual violence cases before and after rollout of COVID-19 mitigation measures, Kenya, January 2015–June 2021. The shaded areas indicated counties that have complete sexual violence reports in the Kenya DHIS2 database, which were included in the analyses. The following counties did not report sexual violence data to the DHIS2: Baringo, Bomet, Elgeyo-Marakwet, Garissa, Isiolo, Kericho, Kwale, Lamu, Mandera, Marsabit, Nandi, Taita Taveta, Tana River, Wajir, and West Pokot. DHIS2, District Health Information System 2.

Third, we assumed that potential perpetrators at the population level were unaware of impending lockdowns and subsequently did not modify their behavior in anticipation of the lockdown. Any pre-lockdown anticipatory effects would have biased the nonlockdown estimates upward or downward depending on the direction of the effects (11). We tested this assumption by using date falsification and Supremum Wald tests and by examining raw sexual violence trend graphs (Figure 2; Appendix). Because time-series analyses require ≥ 50 observations for stable estimates of the underlying trend and to model for seasonality, we expanded our dataset to include 78 observation months (12,13).

Because different time-series approaches have inherent strengths and limitations, we compared estimates across different models to increase result confidence. For example, before and after analyses, we assumed no long-term trends were occurring. However, interrupted time-series require multiple

observations; thus, we checked estimates of the seasonal autoregressive integrated moving average model (SARIMA) as our primary method and crosschecked the estimates by using 4 additional methods: seasonal Holt-Winters, Bayesian structured time-series (BSTS), ordinary least squares interrupted time-series analysis (ITSA), and negative binomial interrupted time-series regressions (NBREG) (Appendix).

Software and Ethics Approval

We conducted analyses in Python version 3.7 (Python Software Foundation, <https://www.python.org>) and Stata version 14 (StataCorp LLC, <https://www.stata.com>). We developed a web-based application, SGBV Rapid Trend Analysis Tool (<https://sgbv-app.herokuapp.com>), for researchers who wish to conduct similar analyses. The details of the statistical methods, tests, and interpretation of results are included as part of the tool. This study was reviewed in accordance with US Centers for Disease Control and Prevention human subjects review procedures and was determined to not meet the definition of research as defined in 45 CFR §46.102(I).

Results

We found that reported cases of sexual violence in Kenya doubled during the COVID-19 pandemic. The pre-COVID-19 (January 2015–March 15, 2020) monthly mean number of cases was 2,387 (95% CI 2,289–2,485) but rose to a monthly mean of 5,269 (95% CI 4,289–6,250) after COVID-19 lockdowns began on March 15, 2020 (Table; Figure 2). From the prelockdown to postlockdown periods, DHIS2 data inputs for rape increased from 1,037 to 1,801/month, rape-PEP increased from 628 to 910/month, and rape-STI increased from 745 to 1,115/month.

We noted a dip in the upward trajectory of reported sexual violence cases after COVID-19 restrictions were relaxed during November 2020–February 2021 (Table; Figure 2). However, a fresh upsurge in cases occurred after COVID-19 restrictions were reimposed in March 2021 (Figure 2; Appendix Figure 2).

We found that reported sexual violence cases decreased during a series of national healthcare worker strikes in 2017 (Figure 2). We also found seasonal variations in reported sexual violence cases, and that peaks typically occur during November–January, coinciding with the main school vacation in Kenya (Appendix Figure 2).

The base SARIMA model showed that, after COVID-19 mitigation measures were introduced in March 2020, reported sexual violence cases increased

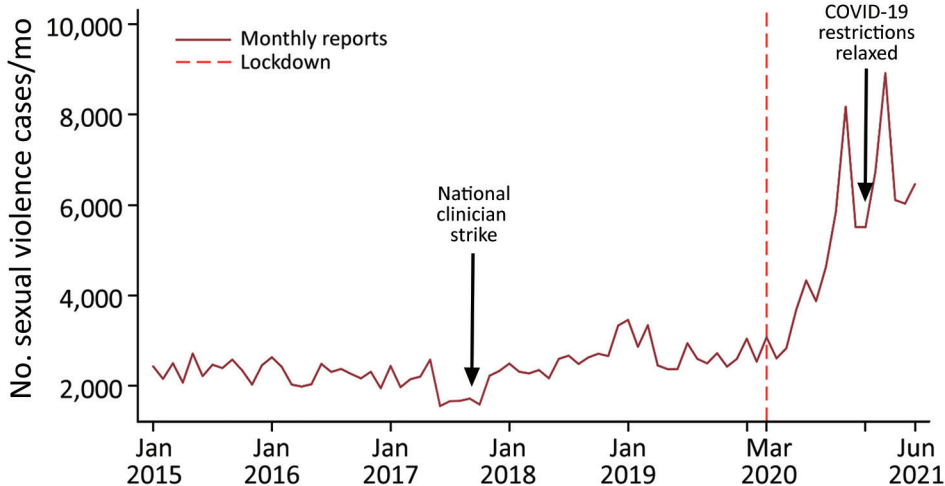


Figure 2. Overall unadjusted trends in sexual violence cases before and after rollout of COVID-19 mitigation measures, Kenya, January 2015–June 2021. The graph shows monthly number of reported sexual violence cases; vertical red dashed line represents the official start of the COVID-19 pandemic and associated lockdowns in Kenya.

by a monthly average of 73% (2,229). SARIMA model estimates were more conservative than estimates using the alternate models; ITSA showed a 95% increase, NBREG 122%, and BSTS 112% (Appendix Figure 3). Those results translate to a cumulative increase of 35,668 (95% CI 28,972–42,364) reported sexual violence cases compared with the modeled scenario without COVID-19 restrictions.

Overall, reported sexual violence cases increased for all age groups during lockdown, but the highest increase occurred among persons in the 10–17-year age group, which had an 117.2% increase. Other age groups also had increased rates: 20.7% among persons <10 years of age, 37.2% among those 18–49 years of age, and 16.3% among those ≥50 years of age (Figure 3; Appendix Figure 4).

We found a model-dependent 22%–76% increase in monthly reported rape cases (Appendix Table). The proportion of rape survivors receiving the minimum package of standard care recommended by national guidelines (8) declined. Of note, during the prelockdown period, only 61% of rape cases were reported to have received PEP, and only 72% received STI treatment. In the postlockdown period, the proportion of rape survivors receiving PEP declined from 61% to 51%, and those receiving STI treatments declined from 72% to 61%; however, the number of PEP and STI treatments administered increased overall (Table).

Discussion

During the lockdown period, we found a 73%–122% increase in reported sexual violence cases, confirming previous studies and media commentaries about an increase in sexual violence during the pandemic (7; H.D. Flowe et al.). Monthly reported cases increased as the lockdown progressed, and reports during December 2020 were 4 times higher than the pre-COVID-19 monthly average. Case reports moderately declined in January 2021, coinciding with relaxation of some COVID-19 mitigation measures, and surged again in March 2021 after mitigation measures were reintroduced (Figure 2; Appendix Figure 2).

During COVID-19 lockdown, reported sexual violence cases more than doubled among persons 10–17 years of age, but all age groups had increased rates (Appendix Figure 4). We hypothesize that the spike in cases among the adolescent group resulted from extended school closures, which led to increased contact time with potential abusers. Other studies using survivor-level data have shown a shift in abuse patterns to daylight hours and a decline in mean age of sexual violence survivors from 16 to 12 years of age (7; H.D. Flowe et al.). We were not able to assess this change with the available data.

For the period before the pandemic, our descriptive analyses found a strong seasonal pattern in sexual violence, and peaks coincided with school vacations (Appendix Figure 2). We did not find any literature

Table. Summary statistics of sexual violence trends before and after rollout of COVID-19 mitigation measures, Kenya*

Indicator	Prelockdown, mean (95% CI)	Postlockdown, mean (95% CI)	SARIMA parameters
Total sexual violence cases	2,387 (2,289–2,485)	5,269 (4,289–6,250)	(4,1,0) x (1,1,0,12)
Rape	1,037 (989–1,085)	1,801 (1,576–2,028)	(0,1,0) x (1,0,0,12)
Rape-PEP	628 (603–653)	910 (814–1,007)	(1,1,1)
Rape-STI treatment	745 (714–776)	1,115 (980–1,249)	(0,1,0)

*Trends were measured during January 2015–June 2020. PEP, postexposure prophylaxis for HIV; SARIMA, seasonal autoregressive integrated moving average; STI, sexually transmitted infection.

regarding seasonal variation in sexual violence reports in East Africa, but program managers should consider incorporating these variations in their sexual violence intervention plans.

We found a correlated increase in 2 national indicators of the quality of sexual violence care, rape-related PEP treatment and facility-reported cases associated with STI treatment. These indicators showed an absolute increase in treatments administered (Appendix Table), but the average proportion of reported survivors receiving the minimum standard-of-care declined from 61% to 51% for PEP and 72% to 61% for STI treatment. Further studies are needed to determine why only 61% of rape cases received PEP and only 72% received STI treatment before the lockdown and why the percentage of rape cases receiving PEP and STI treatment decreased further during lockdown.

Our results mirror previous studies that found an increase in cases of sexual violence during pandemics or in the aftermath of major disasters (1). Our results are higher than those found in a preanalysis and postanalysis conducted by the United Nations Population Fund, which compared data from Mali in April 2019 to data from April 2020 (14). That analysis found a 35% increase in gender-based violence in Mali; however, the number of reporting organizations decreased from 32 to 13 during the analysis period, so these data are likely underestimates (14).

Our results are also consistent with a study examining patterns of sexual violence against adults and children in Kenya during the lockdown (7). That study found that children were more likely than adults to be victimized, primarily resulting from school closures because violations occurred more frequently during the day, by someone known to the survivor, and in private rather than a public location (7).

We used 4 different time-series approaches, each with their own strengths and weakness, to assess the robustness of the findings (Appendix Figure 3). We conducted several falsification and statistical tests to assess whether other competing events might have affected the results. We also assessed seasonality and secular trends, thereby avoiding biases in pre-analysis and postanalysis evaluations when comparing observations from corresponding months across different years.

Our investigation likely underestimated sexual violence cases during lockdown. First, sexual violence is often underreported because of stigma, fear of retribution, cultural normalization of sexual violence, mistrust of authorities, lack of knowledge about services, and weak legal systems (5,7,15). Second, DHIS2 data are restricted to registered facilities, are often incomplete, and do not capture medical care received elsewhere, such as in nonregistered facilities like clinics in slums or at home. Third, because DHIS2 does not receive data from stand-alone rape crisis centers and does not receive reports from 30% of the counties in Kenya (Figure 1), especially those in North-Eastern and central Rift Valley Provinces, the DHIS2 rape data might not fully represent the total population of rape survivors in Kenya. Fourth, movement restrictions could have hindered access to medicolegal care (facilities and police). Fifth, survivors could have avoided seeking help in health facilities during the early phases of the pandemic because of fear of getting infected with SARS-CoV-2. Therefore, survivors who went to healthcare facilities during the COVID-19 pandemic could have had more severe injuries, might represent a subset of the population that could navigate pandemic restrictions such as curfews, have been of higher socioeconomic status, or lived in proximity to health facilities. We have no

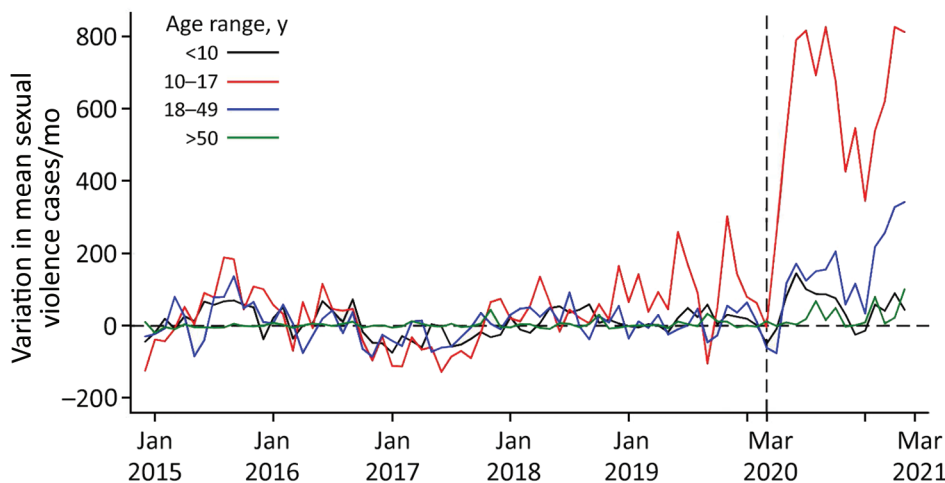


Figure 3. Mean sexual violence cases by age before and after rollout of COVID-19 mitigation measures, Kenya, January 2015–June 2021. Changes in age-disaggregated cases were calculated by using a Bayesian structural time series model. The horizontal dashed line represents the baseline; the vertical dashed line represents the official start of the COVID-19 pandemic and associated lockdowns in Kenya.

way of testing for this information in the data. Finally, case-patient sex was not reported to DHIS2, and we do not know how many facilities or standalone rape crisis centers provide sexual violence services to male survivors or if the sex distribution of rape cases changed during lockdown. Thus, we do not know if gaps in PEP and STI treatment were worse in male versus female sexual violence survivors.

Because we did not have patient-level data, we were unable to conduct detailed subanalyses, such as age-sex disaggregation, incident time of day or day of week, or perpetrators' ages or their relationships with the survivors. National-level aggregates smoothed out random variations in healthcare service access and reporting at healthcare facility-level, these aggregates do not capture geographic heterogeneity in sexual violence patterns that enable more targeted interventions. Additional analyses are therefore essential.

Conclusions

We used DHIS2 data to examine trends in reported sexual violence cases during the COVID-19 pandemic in Kenya. We found that reported sexual violence and rape cases nearly doubled during COVID-19 lockdown periods, particularly among persons 10–17 years of age. We found strong seasonal patterns in sexual violence reports before the COVID-19 pandemic, and reports spiked during school vacations.

We found that gaps in PEP and STI treatment administered to rape survivors existed in Kenya before COVID-19 lockdowns began. However, the percentage of rape survivors receiving PEP and STI treatment dropped further during the lockdown. Additional studies could investigate why gaps in PEP and STI treatment occurred.

Nonetheless, our findings likely underestimate sexual violence in Kenya during the COVID-19 pandemic. We suggest that sexual violence surveillance systems be strengthened and expanded to include all counties in Kenya. In addition, communities could identify safe spaces for children when schools are not in session and keep safe houses open and accessible for persons fleeing abusers during lockdowns. Further studies are needed to monitor the possible additional adverse effects of COVID-19 pandemic lockdowns, such as increases in teenage pregnancies and increased incidence of HIV and STIs in children and adolescence. Because the immediate and long-term deleterious effects of sexual violence on survivors and society are unclear, additional studies to generate better quality data and policies would be useful.

In conclusion, our findings can inform planning for future pandemics or other events that result in the mass disruption of socioeconomic activities, such as earthquakes and hurricanes (1). Lockdown plans and policies should include sexual violence prevention and mitigation strategies. Communities should maintain access to comprehensive sexual violence care according to national standards as an essential service for all ages and sexes during pandemic lockdowns, disasters, and national emergencies.

Acknowledgments

We thank the Kenya Ministry of Health leadership for assistance with the DHIS2 data.

The findings and conclusions in this paper are those of the authors and do not necessarily represent the official position of the US Centers for Disease Control and Prevention or the Kenya Ministry of Health.

About the Author

Dr. Ochieng is a health economist in the Office of the Associate Director for Science, Office of the Director, Center for Global Health, Centers for Disease Control and Prevention, Atlanta, GA, USA. His primary research interests are in global public health economics, disease modeling, and econometrics.

References

1. Sloand E, Killion C, Yarandi H, Sharps P, Lewis-O'Connor A, Hassan M, et al. Experiences of violence and abuse among internally displaced adolescent girls following a natural disaster. *J Adv Nurs*. 2017;73:3200–8. <https://doi.org/10.1111/jan.13316>
2. Mittal S, Singh T. Gender-based violence during COVID-19 pandemic: a mini-review. *Front Glob Womens Health*. 2020;1:4. <https://doi.org/10.3389/fgwh.2020.00004>
3. Muldoon KA, Denize KM, Talarico R, Fell DB, Sobiesiak A, Heimerl M, et al. COVID-19 pandemic and violence: rising risks and decreasing urgent care-seeking for sexual assault and domestic violence survivors. *BMC Med*. 2021;19:20. <https://doi.org/10.1186/s12916-020-01897-z>
4. Roesch E, Amin A, Gupta J, García-Moreno C. Violence against women during covid-19 pandemic restrictions. *BMJ*. 2020;369:m1712. <https://doi.org/10.1136/bmj.m1712>
5. Peterman A, Potts A, O'Donnell M, Thompson K, Shah N, Oertelt-Prigione S, van Gelert N. Pandemic and violence against women and children. CGD working paper 528. Washington, DC: Center for Global Development; 2020 [cited 2021 Mar 11]. <https://www.cgdev.org/publication/pandemics-and-violence-against-women-and-children>
6. United Nations Population Fund. Millions more cases of violence, child marriage, female genital mutilation, unintended pregnancy expected due to the COVID-19 pandemic [cited 2022 Nov 1]. <https://www.unfpa.org/news/millions-more-cases-violence-child-marriage-female-genital-mutilation-unintended-pregnancies>

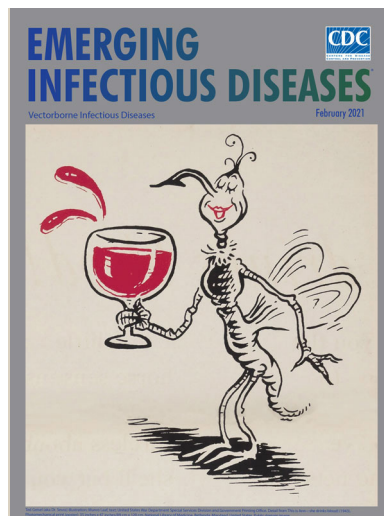
7. Rockowitz S, Stevens LM, Rockey JC, Smith LL, Ritchie J, Colloff MF, et al. Patterns of sexual violence against adults and children during the COVID-19 pandemic in Kenya: a prospective cross-sectional study. *BMJ Open*. 2021;11:e048636. <https://doi.org/10.1136/bmjopen-2021-048636>
8. Kenya Ministry of Health. National guidelines on management of sexual violence in Kenya, 3rd edition. Nairobi: The Ministry; 2014.
9. Kenya Ministry of Health. Sexual gender based violence (SGBV) register, MOH 365 [cited 2022 Nov 1]. https://www.law.berkeley.edu/wp-content/uploads/2015/10/Kenya_MOH_SGBV-Register-for-Health-Facilities_Jan-2015.pdf
10. Box G, Jenkins G. Time series analysis: forecasting and control. San Francisco: Holden-Day; 1970.
11. Kim H, Lee JT, Fong KC, Bell ML. Alternative adjustment for seasonality and long-term time-trend in time-series analysis for long-term environmental exposures and disease counts. *BMC Med Res Methodol*. 2021;21:2. <https://doi.org/10.1186/s12874-020-01199-1>
12. Ljung GM, Box GEP. On a measure of lack of fit in time series models. *Biometrika*. 1978;65:297–303. <https://doi.org/10.1093/biomet/65.2.297>
13. Simonton DK. Cross-sectional time-series experiments: some suggested statistical analyses. *Psych Bull*. 1977;84:489–502. <https://doi.org/10.1037/0033-2909.84.3.489>
14. United Nations Population Fund. Impact of COVID-19 on gender-based violence in West and Central Africa. 2020 [cited 2022 Nov 1]. <https://allafrica.com/stories/202007100714.html>
15. Sharma A, Borah SB. Covid-19 and domestic violence: an indirect path to social and economic crisis. *J Fam Violence*. 2020;37:759–65. <https://doi.org/10.1007/s10896-020-00188-8>

Address for correspondence: Elizabeth O'Mara Sage, 1600 Clifton Road NE, Mailstop H21-9, Atlanta, GA 30329-4027, USA; email: eco1@cdc.gov

February 2021

Vectorborne Infectious Diseases

- Childcare Exposure to Severe Acute Respiratory Syndrome Coronavirus 2 for 4-Year-Old Presymptomatic Child, South Korea
- Characteristics of Patients Co-infected with Severe Acute Respiratory Syndrome Coronavirus 2 and Dengue Virus, Buenos Aires, Argentina, March–June 2020
- Characteristics and Timing of Initial Virus Shedding in Severe Acute Respiratory Syndrome Coronavirus 2, Utah, USA
- Zika Virus–Associated Birth Defects, Costa Rica, 2016–2018
- *Plasmodium ovale wallikeri* and *P. ovale curtisi* Infections and Diagnostic Approaches to Imported Malaria, France, 2013–2018
- Symptom Profiles and Progression in Hospitalized and Nonhospitalized Patients with Coronavirus Disease, Colorado, USA, 2020
- Addressing COVID-19 Misinformation on Social Media Preemptively and Responsively
- Excess Deaths during Influenza and Coronavirus Disease and Infection-Fatality Rate for Severe Acute Respiratory Syndrome Coronavirus 2, the Netherlands



- Rapid Transmission of Severe Acute Respiratory Syndrome Coronavirus 2 in Detention Facility, Louisiana, USA, May–June, 2020
- Plasma MicroRNA Profiling of *Plasmodium falciparum* Biomass and Association with Severity of Malaria Disease
- Increasing Incidence of Invasive Group A *Streptococcus* Disease in First Nations Population, Alberta, Canada, 2003–2017

- Effects of Social Distancing Measures during the First Epidemic Wave of Severe Acute Respiratory Syndrome Coronavirus 2, Greece
- *Plasmodium falciparum* Histidine-Rich Protein 2 and 3 Gene Deletions in Strains from Nigeria, Sudan, and South Sudan
- Universal Admission Screening for SARS-CoV-2 Infections among Hospitalized Patients, Switzerland, 2020
- Hepatitis C Virus Transmission Clusters in Public Health and Correctional Settings, Wisconsin, USA, 2016–2017
- Prolonged Maternal Zika Viremia as a Marker of Adverse Perinatal Outcomes
- Use of Commercial Claims Data for Evaluating Trends in Lyme Disease Diagnoses, United States, 2010–2018
- Highly Pathogenic Avian Influenza A(H5N8) Virus Spread by Short- and Long-Range Transmission, France, 2016–17
- Outbreak of Severe Vomiting in Dogs Associated with a Canine Enteric Coronavirus, United Kingdom
- Excess Deaths during Influenza and Coronavirus Disease and Infection-Fatality Rate for Severe Acute Respiratory Syndrome Coronavirus 2, the Netherlands

**EMERGING
INFECTIOUS DISEASES**

To revisit the February 2021 issue, go to:
<https://wwwnc.cdc.gov/eid/articles/issue/27/2/table-of-contents>

Sexual Violence Trends before and after the COVID-19 Pandemic, Kenya

Appendix

Statistical Analysis

We first conducted descriptive analyses. We assessed distributions and outliers for each indicator, decomposed the data to check for seasonality, secular trends, and random noise, and assessed the data for autocorrelation and partial autocorrelation. Next, we conducted unit root tests to estimate the number of lags required to make the data stationary.

Traditional quasi-experimental policy evaluation methods like difference-in-differences are unsuitable in the current scenario due to the lack of appropriate control groups. We, therefore, used time series approaches to compare sexual violence trends before and after the introduction of COVID-19 mitigation measures in Kenya on March 15, 2020.

Akin to difference-in-differences, time series methods assume that pre-policy trends, including seasonal variations and levels would remain unchanged in the post-policy period under a nonintervention counterfactual state. The estimated policy impact is therefore the difference between the counterfactual-state estimates and observed data. The validity of this approach hinges on accounting for any concurrent shocks that could affect these trends and levels, such as changes in concomitant policies, measurement processes or population composition (*1*).

Our models are based on the following assumptions. First, that there were no changes in data reporting during the pandemic. We check this assumption by examining data quality reports and through discussions with key public health program officials working on sexual violence in Kenya. Second, we assumed that there were no other concurrent events, other than the pandemic policy shock, that could drive the results. These competing events could include new legislation penalizing sexual violence or mass disruptive events like civil conflicts. We check this assumption using date falsification tests (changing the policy start dates several months before

and after March 2020), Supremum Wald tests for unknown structural breaks, and Wald tests for known structural breaks in the data (2–4).

Third, we assume that there were no anticipatory (i.e., Ashenfelter-type) pre-policy effects; that is, perpetrators could not adjust their behavior in anticipation of the lockdown policy because the shutdown date was driven by unanticipated global factors. Existence of prelockdown anticipatory effects would bias the estimation of counterfactual trends. We checked this assumption in part by tests outlined under the second assumption and by examining raw trend graphs around the time of policy change (lockdown); excess bunching suggested such behavior (Figure 2).

Different time series approaches have their inherent strengths and limitations. We compared estimates across different models to increase confidence with our results. We used a seasonal autoregressive integrated moving average model (SARIMA) as our base model and crosschecked the estimates by using seasonal Holt-Winters, Bayesian structural time series (BSTS), interrupted time series analysis (ITSA), and negative binomial interrupted time series regressions (NBREG).

We used a forecasting approach for the SARIMA model. Having defined the number of appropriate lags to stabilize the data by using the steps described above, we selected the most appropriate SARIMA model by using Akaike information criterion and the Ljung-Box (Q) test (5). We then stabilized the model by using regular and seasonal differencing and rechecked for stationarity by using augmented Dickey Fuller (ADF) unit root tests.

We introduced an additional preprocessing step to confirm if the selected SARIMA model was appropriate. We split the data into training and testing datasets as follows: training was January 2015–July 2019, and testing was July 2019–February 2020. We then compared the SARIMA forecasts against the actual observed values in the testing dataset. We evaluated forecasting performance by using root mean square errors (RMSE) and mean absolute errors (MAE), and chose the appropriate autoregression orders, trend differences, and moving average orders. We then used the dataset through February 2020 to forecast for values through June 2021 and compared forecast estimates with the actual observed values. The difference between the forecasted and actual values represents the policy impact.

The main ITSA model was specified as follows (1):

$$Y_t = \beta_0 + \beta_1 T_t + \beta_2 X_t + \beta_3 X_t T_t + \beta_4 M_t + \varepsilon_t$$

$$\varepsilon_t = \eta_{t-k} + z_t$$

Where Y_t is an aggregated regressor (e.g., OPD visits) that is measured at equal monthly intervals t , X_t is a 0–1 indicator variable representing the COVID-19-related lockdown in March 2020, T_t is the time in months since January 2015 and M_t are dummy variables for months to account for seasonality. B_0 is the intercept term, β_1 is the pre-lockdown slope, β_2 estimates the lockdown policy shock level change, and β_3 estimates the long-term effect of the policy change (1,6). The error term, ε_t uses Newey-West standard errors to account for serial correlation (1,7).

Assumptions and Additional Robustness Checks

Kenya experienced a series of nationwide healthcare worker strikes in 2016 and 2017 that disrupted health services (8). There is a risk of obtaining spurious results if the effects of these strikes were significant and sustained. We, therefore, conducted additional robustness checks with an additional dummy variable (second interruption) for the onset of the strikes in the segmented regression models. We visually inspected the decomposed data for the strike period to determine if the trends were deterministic (recovered long-term trajectories after the strike ended) or stochastic (maintained a new trend after the strike ended).

The Wald and Supremum Wald tests identified 1 significant change (structural break) in trends in 2017 coinciding with the national health worker strikes. The inclusion of this break in the models did not change the results. Our results were also robust to date falsification tests with no impacts seen when the lockdown start date was varied by several months before and after March 2020.

Software

We performed the initial data manipulations using Python version 3.7 (Python Software Foundation, <https://www.python.org>). All analyses and visualizations were done by using Stata version 14.2 (StataCorp LLC, <https://www.stata.com>) (9)

Ethical Approval

This activity was reviewed in accordance with Centers for Disease Control and Prevention human subjects review procedures and was determined to not meet the definition of research as defined in 45 CFR §46.102(1).

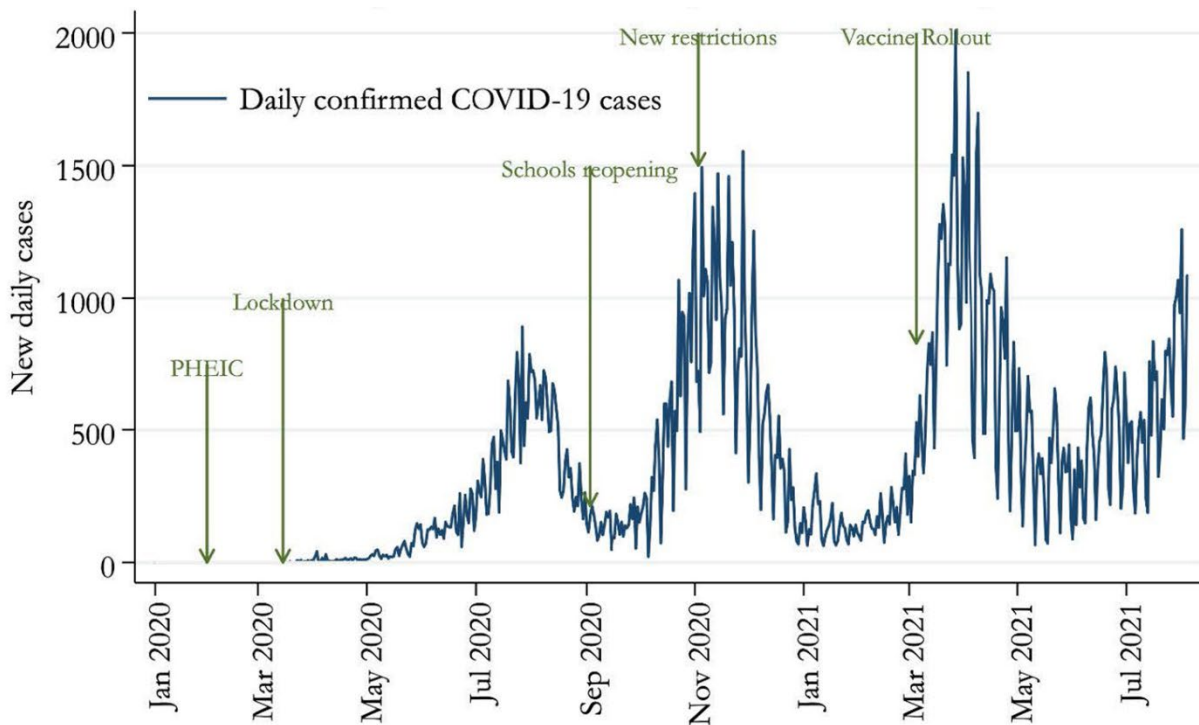
References

1. Linden A. Conducting interrupted time-series analysis for single- and multiple-group comparisons. *Stata J.* 2015;15:480–500. <https://doi.org/10.1177/1536867X1501500208>
2. Perron P. Dealing with structural breaks. In: Mills TC, Patterson K, editors. *Plaggrave handbook for econometrics: econometric theory*. Camden (UK): Palgrave MacMillan; 2006. p. 278–352.
3. Quandt R. Tests of the hypothesis that a linear regression system obeys two separate regimes. *J Am Stat Assoc.* 1960;55:324–30. <https://doi.org/10.1080/01621459.1960.10482067>
4. Chow G. Tests of equality between sets of coefficients in two linear regressions. *Econometrica.* 1960;28:591–605. <https://doi.org/10.2307/1910133>
5. Ljung GM, Box GEP. On a measure of lack of fit in time series models. *Biometrika.* 1978;65:297–303. <https://doi.org/10.1093/biomet/65.2.297>
6. Linden A, Adams JL. Applying a propensity score-based weighting model to interrupted time series data: improving causal inference in programme evaluation. *J Eval Clin Pract.* 2011;17:1231–8. [PubMed https://doi.org/10.1111/j.1365-2753.2010.01504.x](https://doi.org/10.1111/j.1365-2753.2010.01504.x)
7. Cumby RE, Huizinga J. Testing the autocorrelation structure of disturbances in ordinary least squares and instrumental variables regressions. *Econometrica.* 1992;60:185–95. <https://doi.org/10.2307/2951684>
8. Adam MB, Muma S, Modi JA, Steere M, Cook N, Ellis W, et al. Paediatric and obstetric outcomes at a faith-based hospital during the 100-day public sector physician strike in Kenya. *BMJ Glob Health.* 2018;3:e000665. [PubMed https://doi.org/10.1136/bmjgh-2017-000665](https://doi.org/10.1136/bmjgh-2017-000665)
9. van Rossum G, Drake FL. *Python 3 reference manual*. Scotts Valley (CA): CreateSpace; 2009.

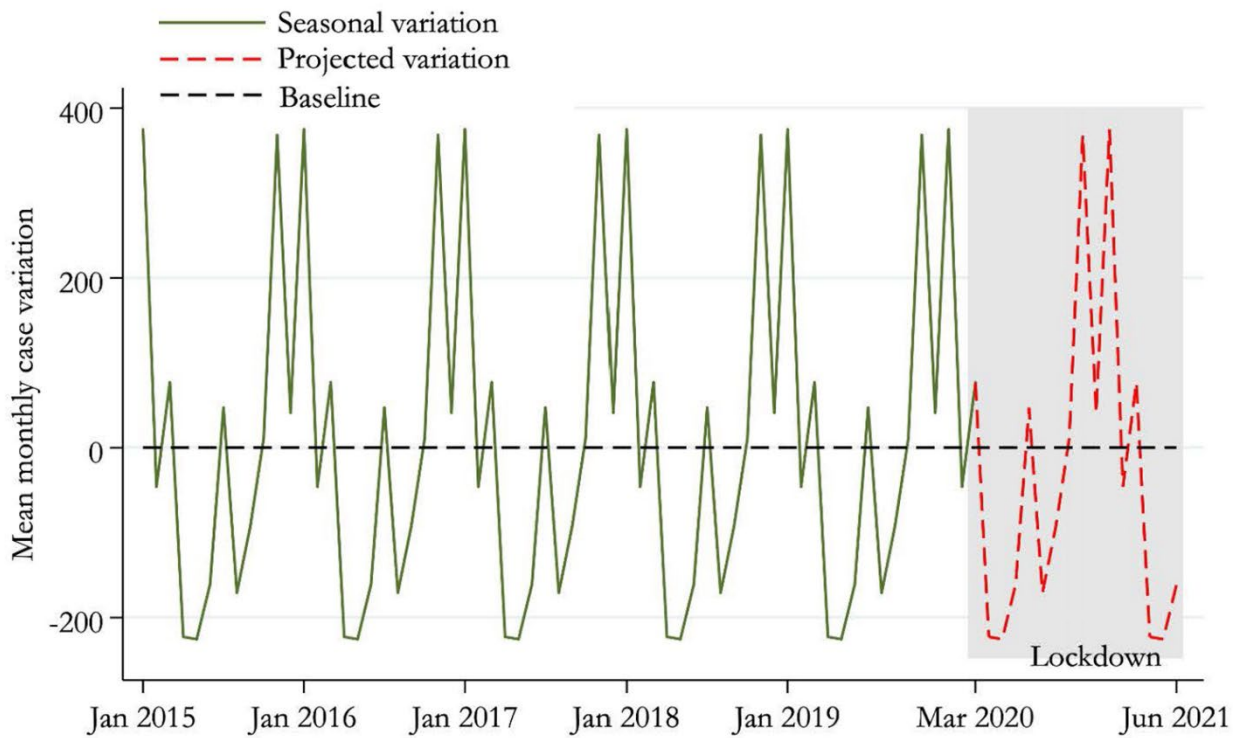
Appendix Table. Modeled monthly change in case counts in a study of sexual violence trends before and after the COVID-19 pandemic, Kenya, January 2015–June 2021*

Indicator	SARIMA	Negative binomial	BSTS	ITSA	Cumulative SARIMA
Sexual violence cases					
Total	2,229 (73.1)†	2,904 (121.9)†	2,710 (113.0)†	2,570 (95.2)†	35,668†
Range	1,337–3,121	1,932–3,877	2,488–2,930	1,808–3,331	28,973–42,364
Rape cases					
Total	335 (22.3)†	779 (76.2)†	731 (70.1)†	545 (43.3)†	8,714†
Range	136–535	560–999	635–838	420–669	7,780–9,650
Rape PEP					
Total cases	123 (15.7)†	290 (46.7)†	267 (42.4)†	253 (38.4)†	1,978†
Range	34–213	200–380	213–320	222–284	1,307–2,649
Rape STI treatment					
Total cases	125 (0.1)	380 (51.7)†	358 (48.2)†	369 (49.4)†	1,999†
Range	0–247	258–502	290–427	310–427	1,059–2,939

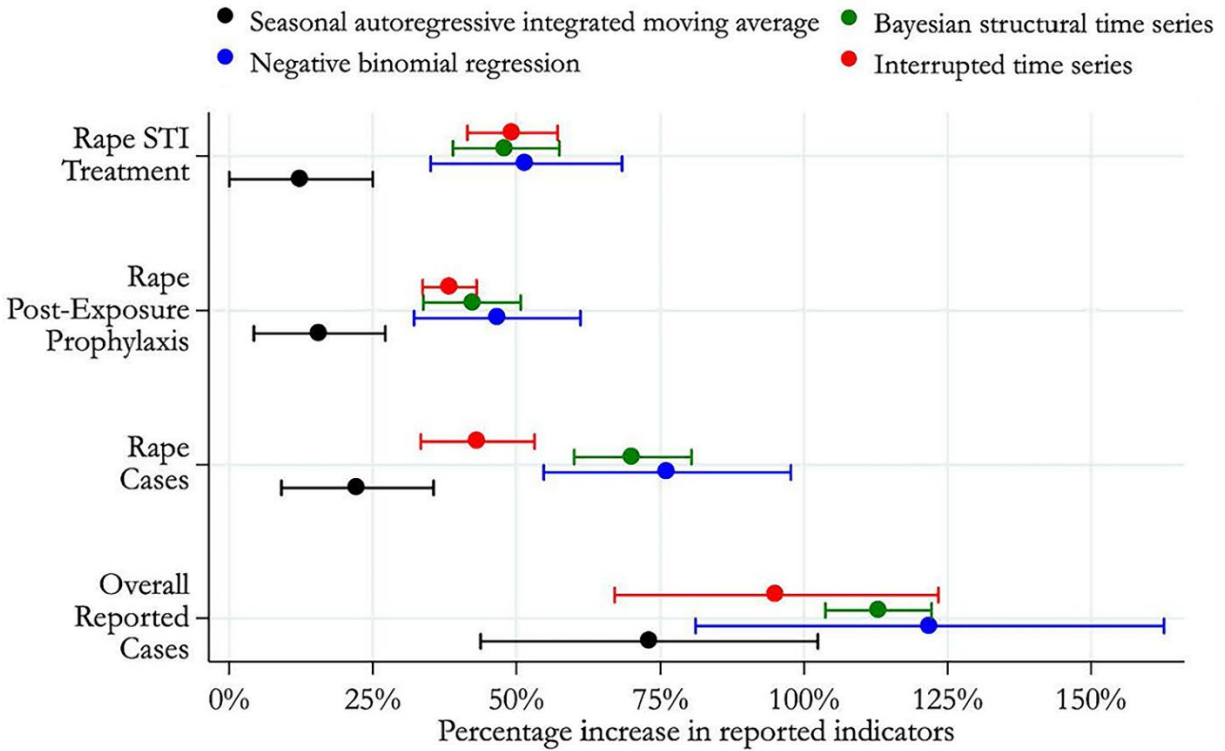
*Values represent no. (% standard error). BSTS, Bayesian structural time series; ITSA, interrupted time series analysis using ordinary least squares; PEP, post-exposure prophylaxis for HIV; SARIMA, seasonal autoregressive integrated moving average; STI, sexually transmitted infection. †p<0.01.



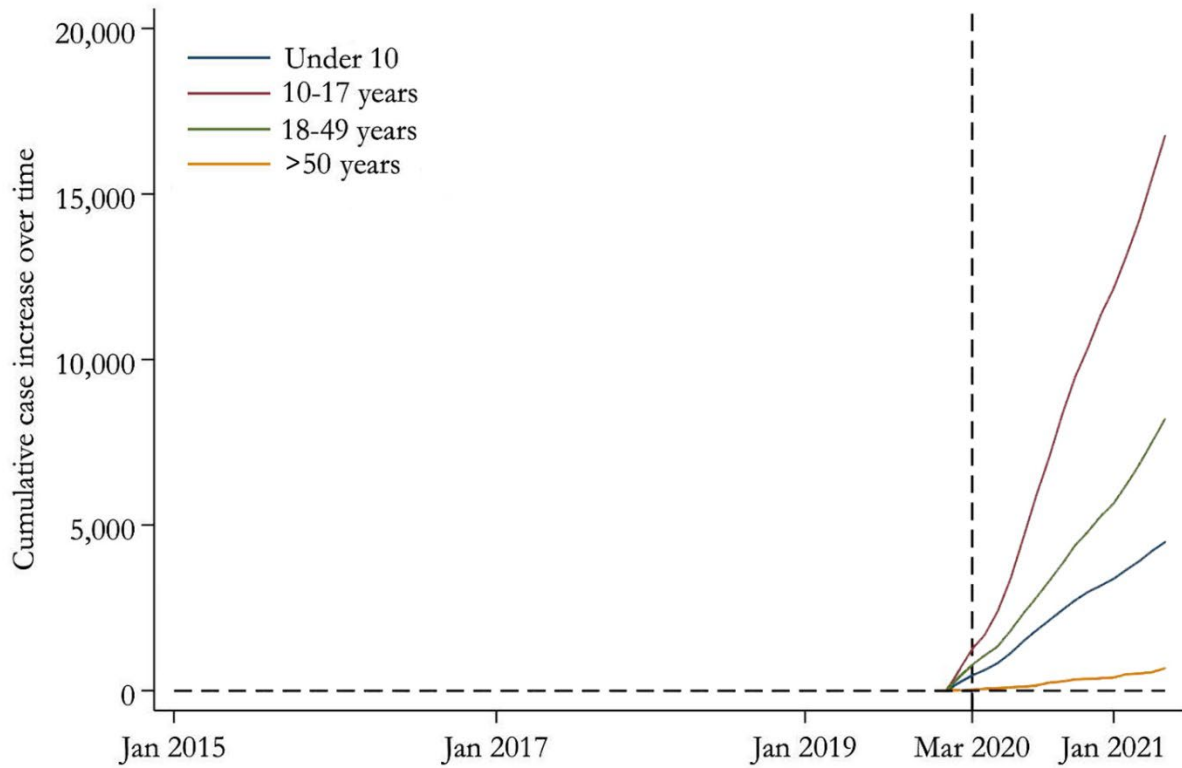
Appendix Figure 1. Key COVID-19 milestones used in a study of sexual violence cases before and after the COVID-19 pandemic, Kenya.



Appendix Figure 2. Mean seasonal variations in reported sexual violence cases before and after the COVID-19 pandemic, Kenya, January 2015–June 2021. The decomposition was performed by using an unobserved components model. The graph shows that seasonality patterns persisted during the COVID-19 lockdown period, March 2020–June 2021.



Appendix Figure 3. Percentage changes in reported overall sexual violence, rape, rape-related post-exposure prophylaxis, and STI treatment for rape during the COVID-19 pandemic, Kenya. Whisker plots compare seasonal autoregressive integrated moving average (SARIMA), negative binomial regression (NBREG), Bayesian structural time series (BSTS), and interrupted time series analysis (ITSA) approaches. Bars indicate range; dots indicate mean. All models show an increase above the baseline (0%), but SARIMA is most conservative and NBREG gives the largest estimates and has the widest confidence intervals. STI, sexually transmitted infection.



Appendix Figure 4. Age-disaggregated cumulative increase in sexual violence cases during the COVID-19 pandemic, Kenya. We used a Bayesian structural time series approach to assess trends. Dotted vertical line indicates the official start of the COVID-19 pandemic and associated lockdowns in Kenya.